

# Reasoning about Functions

Niki Vazou, Anish Tondwalkar, [Ranjit Jhala](#)



*UC San Diego*

# Reasoning about Functions

Niki Vazou, Anish Tondwalkar, Ranjit Jhala



# Motivation

# **Motivation: SMT is Robust!**

For “Shallow” Specs in Decidable theories

# SMT is Robust For “Shallow” Specs

```
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)
```

# SMT is Robust For “Shallow” Specs

```
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (0 <= sum 3) ]
```

Verify goals

# SMT is Robust For “Shallow” Specs

```
sum n =  
  @ensures (0 <= res)  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (0 <= sum 3) ]
```

Verify goals using *spec* for sum

# SMT is Robust For “Shallow” Specs

```
sum :: n:_ -> res:{0 <= res}
sum n =
  if n <= 0
  then 0
  else n + sum (n - 1)

goals =
  [ assert (0 <= sum 3) ]
```

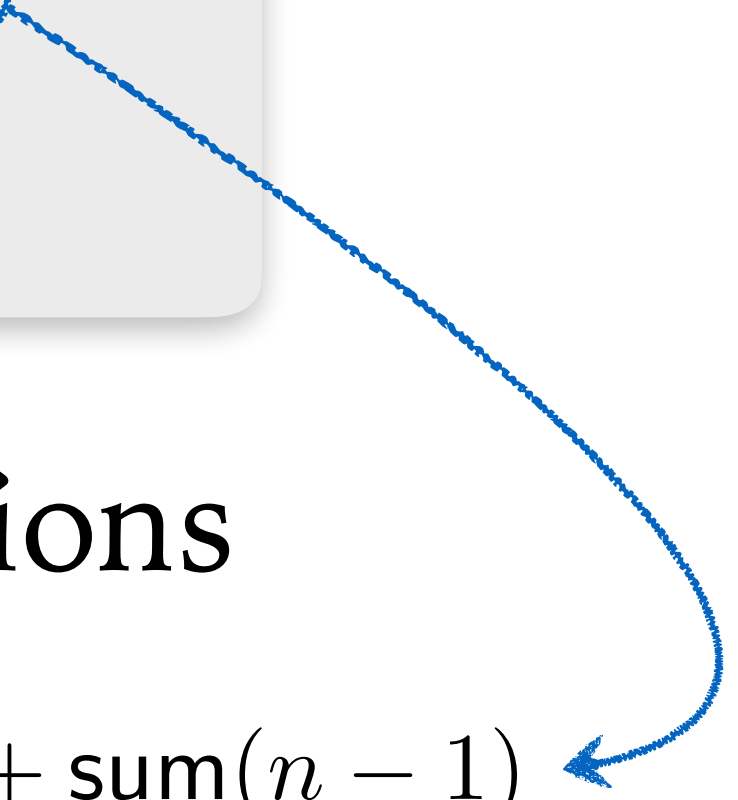
Verify goals using *spec* for sum



# SMT is Robust For “Shallow” Specs

```
sum :: n:_ -> res:{0 <= res}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (0 <= sum 3) ]
```

## Verification Conditions

$$0 < n \Rightarrow 0 \leq \text{sum}(n - 1) \Rightarrow 0 \leq n + \text{sum}(n - 1)$$


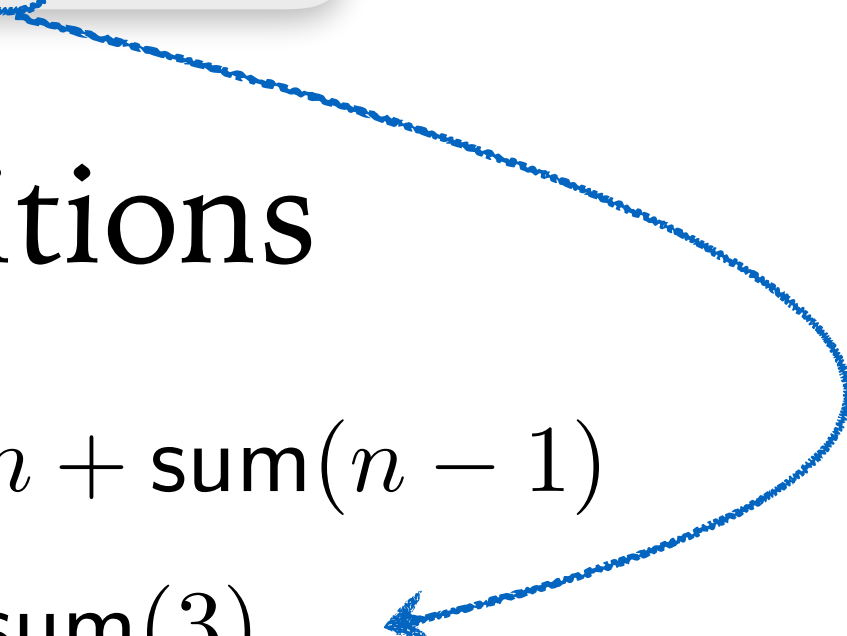
# SMT is Robust For “Shallow” Specs

```
sum :: n:_ -> res:{0 <= res}
sum n =
  if n <= 0
  then 0
  else n + sum (n - 1)

goals =
  [ assert (0 <= sum 3) ]
```

## Verification Conditions

$$0 < n \Rightarrow 0 \leq \text{sum}(n - 1) \Rightarrow 0 \leq n + \text{sum}(n - 1)$$

$$0 \leq \text{sum}(3) \Rightarrow 0 \leq \text{sum}(3)$$


# SMT is Robust For “Shallow” Specs

```
sum :: n:_ -> res:{0 <= res}
sum n =
  if n <= 0
  then 0
  else n + sum (n - 1)

goals =
  [ assert (0 <= sum 3) ]
```

## SMT Solves Verification Conditions

$$0 < n \Rightarrow 0 \leq \text{sum}(n - 1) \Rightarrow 0 \leq n + \text{sum}(n - 1)$$

$$0 \leq \text{sum}(3) \Rightarrow 0 \leq \text{sum}(3)$$



**SMT is Robust For “Shallow” Specs**

SMT solves decidable\* VCs...

\*Quantifier Free Equality, UIF, Arithmetic, Sets, Maps, Bitvectors....

SMT is **Robust** For “**Shallow**” Specs

SMT solves **decidable** VCs...

SMT is **Brittle** For “**Deep**” Specs

...VCs over **user-defined functions**

# SMT is **Brittle** For “Deep” Specs

```
sum :: n:_ -> res:{???
```

```
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)
```

```
goals =  
  [ assert (sum 3 == 6) ]
```

A suitable *spec* for sum?

# SMT is **Brittle** For “Deep” Specs

```
sum :: n:_ -> res:{???\nsum n =\n  if n <= 0\n  then 0\n  else n + sum (n - 1)\n\ngoals =\n  [ assert (sum 3 == 6) ]
```

A suitable *spec* for sum needs **axioms**!

$$\forall n. n \leq 0 \Rightarrow \text{sum}(n) = 0$$

$$\forall n. 0 < n \Rightarrow \text{sum}(n) = n + \text{sum}(n - 1)$$

# SMT is **Brittle** For “**Deep**” Specs

A suitable *spec* for `sum` needs **axioms**!

$$\forall n. n \leq 0 \Rightarrow \text{sum}(n) = 0$$

$$\forall n. 0 < n \Rightarrow \text{sum}(n) = n + \text{sum}(n - 1)$$





**SMT is Robust For “Shallow” Specs**

SMT solves decidable VCs

**SMT is Brittle For “Deep” Specs**

VCS over User-defined Functions

**VCs over User-defined Functions**

... are everywhere!

# VCS over User-defined Functions

## Laws

Transitivity, Associativity...

## Optimizations

Optimization preserves behavior ...

## Code Invariants

Higher-order Contract Specifications...

## Functional Correctness

Equivalence w.r.t. to reference implementation

# Motivation

VCS over User-defined Functions

# Motivation

## SMT Reasoning about Functions

LEON [“Satisfiability Modulo Recursive Functions”, Suter et al. 2011]

DAFNY [“Computing with an SMT Solver”, Amin et al. 2014]

# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

I

Equational Proof



# A suitable *spec* for sum?

```
sum :: n:_ -> res:{???
```

```
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 3 == 6) ]
```

# A suitable *spec* for sum?

**reflect** implementation as the specification

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 3 == 6) ]
```

$\text{sum} :: n:_ \rightarrow v:\{v = \text{if } n \leq 0 \text{ then } 0 \text{ else } n + \text{sum}(n-1)\}$

# A suitable *spec* for `sum`?

`reflect` implementation as the specification

```
sum :: n:_ -> v:{v = if n <= 0 then 0 else n + sum(n-1)}
```

**A. `sum` Must Terminate on All Inputs**

Ensures soundness

# A suitable *spec* for `sum`?

`reflect` implementation as the specification

```
sum :: n:_ -> v:{v = if n <= 0 then 0 else n + sum(n-1)}
```

**B. `sum` is an uninterpreted function**

$$\forall x, y : x = y \Rightarrow f(x) = f(y)$$

# A suitable *spec* for `sum`?

`reflect` implementation as the specification

```
sum :: n:_ -> v:{v = if n <= 0 then 0 else n + sum(n-1)}
```

**B. `sum` is an uninterpreted function**

Ensures SMT can decide VCs

# A suitable *spec* for `sum`?

`reflect` implementation as the specification

**A. `sum` Must Terminate on All Inputs**

Ensures soundness

**B. `sum` is an uninterpreted function**

Ensures SMT can decide VCs

# Equational Proof

## Step 1

`reflect` implementation as the specification

## Step 2

`Call` function to “unfold” definition

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0) ]
```

## Verification Condition\*

$$(\text{sum}(0) = \text{if } (0 \leq 0) \text{ then } 0 \text{ else } \dots) \Rightarrow \text{sum}(0) = 0$$

\* At callsite, substitute *actuals* for *formals* in Post-Condition [Floyd-Hoare]



# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0) ]
```

## Verification Condition

$(\text{sum}(0) = \text{if } (0 \leq 0) \text{ then } 0 \text{ else } \dots) \Rightarrow \text{sum}(0) = 0$

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0) ]
```

## Verification Condition

$(\text{sum}(0) = \text{if } (0 \leq 0) \text{ then } 0 \text{ else } \dots) \Rightarrow \text{sum}(0) = 0$  ✓

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 2 == 3) ]
```

Verification Condition **Invalid**

$$(\text{sum}(2) = \text{if } 2 \leq 0 \text{ then } 0 \text{ else } 2 + \boxed{\text{sum}(1)}) \Rightarrow \text{sum}(2) = 3 \quad \text{X}$$

\* VC has no information about  $\text{sum}(1)$

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)
```

## If at first you don't succeed...

```
goals =  
[ assert (sum 2 == 3) ]
```

Verification Condition **Invalid**

$$(\text{sum}(2) = \text{if } 2 \leq 0 \text{ then } 0 \text{ else } 2 + \boxed{\text{sum}(1)}) \Rightarrow \text{sum}(2) = 3 \quad \text{X}$$

\* VC has no information about  $\text{sum}(1)$

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 1 == 1)  
    , assert (sum 2 == 3) ]
```

VC has no information about `sum(1)`

Call `sum(1)` to unfold specification...

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 1 == 1)  
    , assert (sum 2 == 3) ]
```

VC has no information about `sum(0)`

Call `sum(0)` to unfold specification...

# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0)  
  , assert (sum 1 == 1)  
  , assert (sum 2 == 3) ]
```


# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)
```

```
goals =  
  [ assert (sum 0 == 0) ✓  
    , assert (sum 1 == 1)  
    , assert (sum 2 == 3) ]
```

VC

$(\text{sum}(0) = \text{if } 0 \leq 0 \text{ then } 0 \text{ else } 0 + \text{sum}(0 - 1)) \Rightarrow \text{sum}(0) = 0$






# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0) ✓  
    , assert (sum 1 == 1) ✓  
    , assert (sum 2 == 3) ]
```

VC


$$\begin{aligned} &(\text{sum}(0) = \text{if } 0 \leq 0 \text{ then } 0 \text{ else } 0 + \text{sum}(0 - 1)) \\ \wedge &(\text{sum}(1) = \text{if } 1 \leq 0 \text{ then } 0 \text{ else } 1 + \text{sum}(1 - 1)) \Rightarrow \text{sum}(1) = 1 \end{aligned}$$


# Call function to “unfold” definition

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n - 1)  
  
goals =  
  [ assert (sum 0 == 0) ✓  
    , assert (sum 1 == 1) ✓  
    , assert (sum 2 == 3) ✓ ]
```

VC

$(\text{sum}(0) = \text{if } 0 \leq 0 \text{ then } 0 \text{ else } 0 + \text{sum}(0 - 1))$   
 $\wedge (\text{sum}(1) = \text{if } 1 \leq 0 \text{ then } 0 \text{ else } 1 + \text{sum}(1 - 1))$   
 $\wedge (\text{sum}(2) = \text{if } 2 \leq 0 \text{ then } 0 \text{ else } 2 + \text{sum}(2 - 1)) \Rightarrow \text{sum}(2) = 3$



# Equational Proof

## Step 1

`reflect` implementation as the specification

## Step 2

`Call` function to “unfold” definition

# Equational Proof

## Step 1

`reflect` implementation as the specification

## Step 2

`Call` function to “unfold” definition (repeatedly!)

**Tedious to unfold repeatedly!**

# Equational Proof

## Step 1

`reflect` implementation as the specification

## Step 2

`Call` function to “unfold” definition (repeatedly!)

## Step 3

`Combinators` structure calls as *equations*

# Equational Proof

**Combinators** structure calls as equations

$$(===) :: x : \_ \rightarrow y : \{y=x\} \rightarrow \{v : v=x \ \&\& \ v=y\}$$

**Combinator's** Precondition

Input arguments must be equal

# Equational Proof

**Combinators** structure calls as equations

$$(===) :: x : \_ \rightarrow y : \{y=x\} \rightarrow \boxed{\{v : v=x \ \&\& \ v=y\}}$$

**Combinator's** Postcondition

Output value equals inputs

# Equational Proof

**Combinators** structure calls as equations

```
goal2 () =  
  assert (sum 2 == 3)
```

Verification goal



# Equational Proof

**Combinators** structure calls as equations

```
goal2 () =  
  @ensures (sum 2 == 3)
```

Verification goal

Rephrased as *post-condition*

# Equational Proof

**Combinators** structure calls as equations

```
goal2 :: () -> { sum 2 == 3 }
```

Verification goal

Rephrased as *output-type*

# Equational Proof

**Combinators** structure calls as equations

```
goal2 :: () -> {sum 2 == 3}  
goal2 ()  
  =    sum 2  
  == 3
```

Invalid VC

VC has no information about `sum(1)`

# Equational Proof

**Combinators** structure calls as equations

```
goal2 :: () -> {sum 2 == 3}
goal2 ()
  =    sum 2
  === 2 + sum 1
  === 3
```

Invalid VC

VC has no information about  $\text{sum}(\emptyset)$

# Equational Proof

**Combinators** structure calls as equations

```
goal2 :: () -> {sum 2 == 3}
goal2 ()
  =    sum 2
  === 2 + sum 1
  === 2 + 1 + sum 0
  === 3
```



# Equational Proof

**Combinators** structure calls as equations

$$(==?) :: x:_ \rightarrow y:_ \rightarrow \boxed{\{y=x\}} \rightarrow \{v:v=x \ \&\& \ v=y\}$$

Ternary “Because” **Combinator**

Third input “asserts” that first two are equal

# Equational Proof

**Combinators** structure calls as equations

```
goal3 :: () -> {sum 3 == 6}
```

# Equational Proof

**Combinators** structure calls as equations

```
goal3 :: () -> {sum 3 == 6}  
goal3 ()  
  =    sum 3  
  ==  3 + sum 2  
  ==  6
```

**Invalid VC**

VC has no information about `sum(2)`



# Equational Proof

**Combinators** structure calls as equations

```
goal3 :: () -> {sum 3 == 6}  
goal3 ()  
  =    sum 3  
  === 3 + sum 2  
  ==? 3 + 3           ? goal2()
```

Post-condition adds `sum(2)` to VC

```
goal2 :: () -> {sum 2 == 3}
```

# Equational Proof

**Combinators** structure calls as equations

```
goal3 :: () -> {sum 3 == 6}
goal3 ()
  =    sum 3
  === 3 + sum 2
  ==? 6                ? goal2()
```



# Equational Proof

Enables “deep” verification

# Equational Proof

$$\forall 0 \leq n. 2 \times \text{sum}(n) = n \times (n + 1)$$

[Demo]

# Equational Proof

$$\forall 0 \leq n. 2 \times \text{sum}(n) = n \times (n + 1)$$

sumPf :: n:{0 <=n} -> {2\*sum n == n\*(n+1)}

sumPf 0 = 2 \* sum 0  
      === 0

sumPf n = 2 \* sum n  
      === 2 \* (n + sum (n-1))  
      ==? 2 \* n + (n-1) \* n  
      === n \* (n+1)

Induction  
Hypothesis

? sumPf (n-1)

# Equational Proof

$$\forall xs, ys, zs. (xs ++ ys) ++ zs = xs ++ (ys ++ zs)$$

[Demo]

# Equational Proof

$$\forall xs, ys, zs. (xs ++ ys) ++ zs = xs ++ (ys ++ zs)$$

```
appendPf :: xs:_ -> ys:_ -> zs:_ ->
           {(xs ++ ys) ++ zs = xs ++ (ys ++ zs)}
```

```
appendPf []      ys zs
=          ([] ++ ys) ++ zs
===       [] ++ (ys ++ zs)
```

```
appendPf (x:xs) ys zs
=          ((x:xs) ++ ys) ++ zs
===       (x : (xs ++ ys)) ++ zs
===       x : ((xs ++ ys) ++ zs)
===?      x : (xs ++ (ys ++ zs)) ? appendPf xs ys zs
===       (x:xs) ++ (ys ++ zs)
```

Induction  
Hypothesis

# Equational Proof

## Step 1

**reflect** implementation as the specification

## Step 2

**Call** function to “unfold” definition (repeatedly!)

## Step 3

**Combinators** structure calls as equations



# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# II

## Proof Synthesis

# Proof Synthesis

Equational Proof is *very* expressive

Manual unfolding is tedious!

# Manual unfolding is tedious!

$$\forall n. n > 2 \Rightarrow \text{sum}(n) > 5 + \text{sum}(n - 3)$$

$$n : \{n > 2\} \rightarrow \{\text{sum } n > 5 + \text{sum}(n-3)\}$$

# Manual unfolding is tedious!

```
ex :: n:{n > 2} -> {sum n > 5 + sum(n-3)}
```

# Proof Synthesis

ex ::  $n : \{n > 2\} \rightarrow \{\text{sum } n > 5 + \text{sum}(n-3)\}$

ex n = sum n

=== n + sum (n-1)

=== n + (n-1) + sum (n-2)

=== n + (n-1) + (n-2) + sum (n-3)

> 5 + sum (n-3)

Manual unfolding is tedious!

# Proof Synthesis

ex ::  $n : \{n > 2\} \rightarrow \{\text{sum } n > 5 + \text{sum}(n-3)\}$

ex n = sum n

=== n + sum (n-1)

=== n + (n-1) + sum (n-2)

=== n + (n-1) + (n-2) + sum (n-3)  
> 5 + sum (n-3)

How to *automate* unfolding?



# How to automate unfolding?



Loading

## Problem

*Completeness vs. Termination*

[LEON]

[DAFNY]

# How to automate unfolding?

**Problem**

Completeness vs. Termination

**Solution**

Unfold if you *must*

# Logical Evaluation

Unfold if you *must*

# Logical Evaluation

## Step 1

Represent functions in *guarded* form\*

```
{-@ reflect sum @-}  
sum n =  
  if n <= 0  
  then 0  
  else n + sum (n-1)
```

# Logical Evaluation

## Step 1

Represent functions in *guarded* form\*

sum n =

| n ≤ 0 = 0

| 0 < n = n + sum (n-1)

| guard<sub>i</sub> = body<sub>i</sub>

\* Every sub-term in body<sub>i</sub> is evaluated when guard<sub>i</sub> is true

# Logical Evaluation

## Step 1

Represent functions in *guarded* form

## Step 2

Unfold calls whose guard *is valid*

# Logical Evaluation

## Step 1

Represent functions in *guarded* form

## Step 2

Unfold calls whose guard *is valid*

# Logical Evaluation

Unfold calls whose guard *is valid*

$$n : \{n > 2\} \rightarrow \{\text{sum } n > 5 + \text{sum}(n-3)\}$$



# Logical Evaluation

Unfold calls whose guard *is valid*

**Assume**

$n > 2$

**Prove**

$\text{sum } n > 5 + \text{sum}(n-3)$

# Logical Evaluation

Unfold calls whose guard *is valid*

**Assume**

$n > 2$

**Calls**

sum n

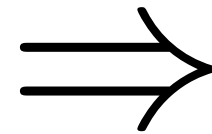
sum( $n - 3$ )

# Unfold calls whose guard *is valid*

Assume

*Is valid?*

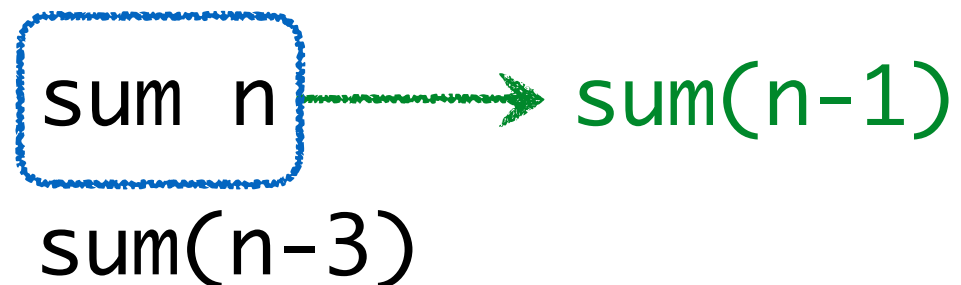
$$\boxed{\text{sum}(n) = n + \text{sum}(n-1)} \quad n > 2$$



$$\boxed{n > 0}$$



Calls



# Unfold calls whose guard *is valid*

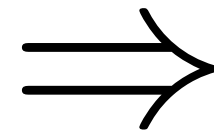
Assume

*Is valid?*

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$



$$n-1 > 0$$



Calls

sum n  $\longrightarrow$   $\boxed{\text{sum}(n-1)}$   $\longrightarrow$  sum(n-2)

sum(n-3)

# Unfold calls whose guard *is valid*

Assume

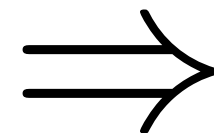
*Is valid?*

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$

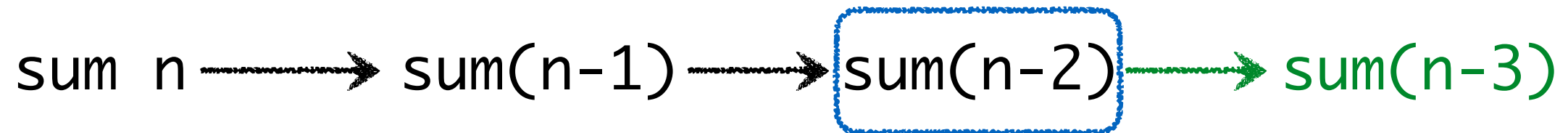
$$\text{sum}(n-2) = n-2 + \text{sum}(n-3)$$



$$n-2 > 0$$



## Calls



sum(n-3)

# Unfold calls whose guard *is valid*

Assume

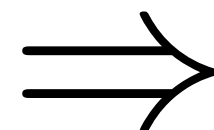
*Is valid?*

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$

$$\text{sum}(n-2) = n-2 + \text{sum}(n-3)$$



$$n-3 > 0 \quad \text{✗}$$

## Calls



# Unfold calls whose guard *is valid*

**Assume**

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$

$$\text{sum}(n-2) = n-2 + \text{sum}(n-3)$$

# Fixpoint!

## Calls

$\text{sum } n \longrightarrow \text{sum}(n-1) \longrightarrow \text{sum}(n-2) \longrightarrow \text{sum}(n-3)$

# Unfold calls whose guard *is valid*

## Assume

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$

$$\text{sum}(n-2) = n-2 + \text{sum}(n-3)$$

## Fixpoint!

Assume *strengthened by* unfolded calls



# Unfold calls whose guard *is valid*

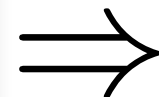
Assume

$$n > 2$$

$$\text{sum}(n) = n + \text{sum}(n-1)$$

$$\text{sum}(n-1) = n-1 + \text{sum}(n-2)$$

$$\text{sum}(n-2) = n-2 + \text{sum}(n-3)$$



Prove

$$\text{sum } n > 5 + \text{sum}(n-3)$$



Assume *strengthened by* unfolded calls

# Logical Evaluation

## Step 1

Represent functions in *guarded* form

## Step 2

Unfold calls whose guard *is valid*

# Logical Evaluation

```
def PLE(D, A, G):  
  
    C = [x = f(t) for f(t) in G, x fresh]  
    A* = A ∪ C  
  
    while A ⊂ A*:  
        A = A*  
        A* = Unfold(D, A)  
  
    return IsValid(A* ⇒ G)
```

Algorithm: PLE

# Logical Evaluation

```
def PLE(D, A, G):
```

```
    C = [x = f(t) for f(t) in G, x fresh]
```

```
    A* = A ∪ C
```

```
    while A ⊂ A*:
```

```
        A = A*
```

```
        A* = Unfold(D, A)
```

```
    return IsValid(A* ⇒ G)
```

(D)efinitions, (A)ssumptions, (G)oal

# Logical Evaluation

```
def PLE(D, A, G):
```

```
    C = [x = f(t) for f(t) in G, x fresh]
```

```
    A* = A ∪ C
```

```
    while A ⊂ A*:
```

```
        A = A*
```

```
        A* = Unfold(D, A)
```

```
    return IsValid(A* ⇒ G)
```

Extend (A)ssumptions with calls in (G)oal

# Logical Evaluation

```
def PLE(D, A, G):
```

```
    C = [x = f(t) for f(t) in G, x fresh]
```

```
    A* = A ∪ C
```

```
    while A ⊂ A*:
```

```
        A = A*
```

```
        A* = Unfold(D, A)
```

```
    return IsValid(A* ⇒ G)
```

Strengthen (A)ssumption with *fixpoint* of unfoldings

# Logical Evaluation

```
def PLE(D, A, G):
```

```
    C = [x = f(t) for f(t) in G, x fresh]
```

```
    A* = A ∪ C
```

```
    while A ⊂ A*:
```

```
        A = A*
```

```
        A* = Unfold(D, A)
```

```
    return IsValid(A* ⇒ G)
```

Does strengthened (A)ssumption imply (G)oal ?

# Logical Evaluation

```
def Unfold(D, A):  
    return [ (f(x) = body)[t/x] |  
             for f(t) in A  
             for <guard = body> in D(f)  
             if IsValid(A  $\Rightarrow$  guard[t/x]) ]
```

## Unfold

Returns equations for calls whose *guard implied by A*



# Proof Synthesis

```
def PLE(D, A, G):  
    ...  
    while A  $\subset$  A*:  
        A = A*  
        A* = Unfold(D, A)  
    ...  
    return IsValid(A*  $\Rightarrow$  G)
```

Logical Evaluation

Let  $A^k = A$  after  $k$  loop iterations

# Proof Synthesis

```
def PLE(D, A, G):  
    ...  
    while A  $\subset$  A*:  
        A = A*  
        A* = Unfold(D, A)  
    ...  
    return IsValid(A*  $\Rightarrow$  G)
```

Logical Evaluation

## Theorem

**IsValid**( $A^k \Rightarrow G$ ) if  $A \rightarrow G$  with *size*  $k$  equational proof

# Proof Synthesis

```
def PLE(D, A, G):  
    ...  
    while A  $\subset$  A*:  
        A = A*  
        A* = Unfold(D, A)  
    ...  
    return IsValid(A*  $\implies$  G)
```

Logical Evaluation

## Theorem

**IsValid**(A\*  $\implies$  G) if  $A \rightarrow G$  with *any* equational proof

# Proof Synthesis

$$\forall n. n > 2 \Rightarrow \text{sum}(n) > 5 + \text{sum}(n - 3)$$

[Demo]

# Proof Synthesis

$$\forall 0 \leq n. 2 \times \text{sum}(n) = n \times (n + 1)$$

[Demo]

# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# SMT Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# III

Synthesis Terminates



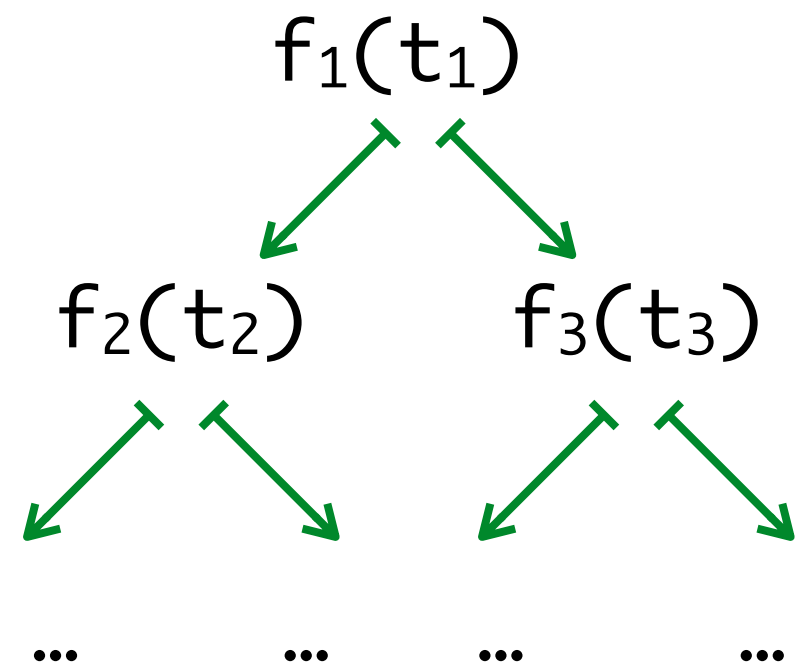
# Synthesis Terminates

```
def PLE(D, A, G):  
    ...  
    while A  $\subset$  A*:  
        A = A*  
        A* = Unfold(D, A)  
    ...  
    return IsValid(A*  $\implies$  G)
```

Why does PLE terminate?

# Why does PLE terminate?

```
def PLE(D, A, G):  
    ...  
    while A  $\subset$  A*:  
        A = A*  
        A* = Unfold(D, A)  
    ...  
    return IsValid(A*  $\Rightarrow$  G)
```



(Implicit) Tree of **Logical Steps**

$f_i(t_i)$  unfolds to body with  $f_j(t_j)$

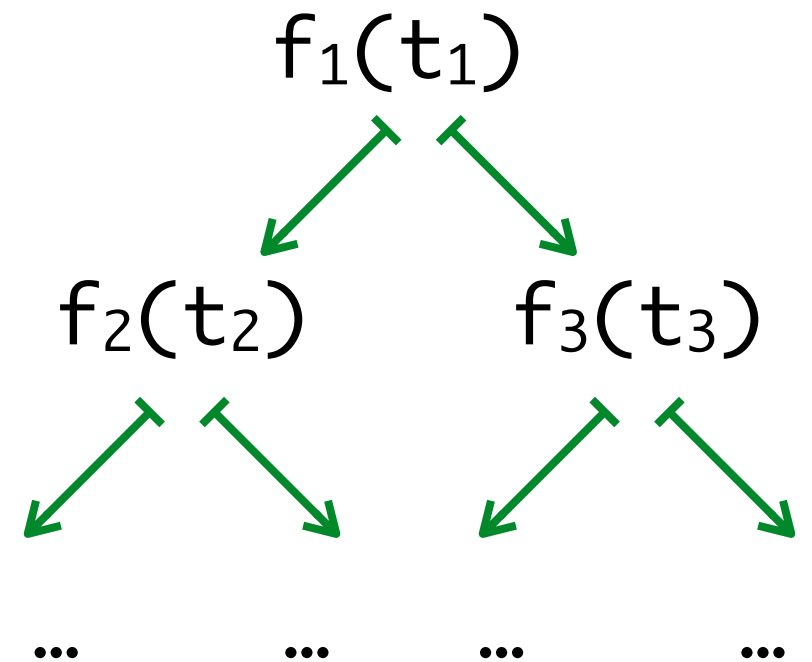
# Why does PLE terminate?

PLE diverges

⇒ Tree is infinite

⇒ infinite Logical Path

⇒ infinite Concrete Trace **✗**



**Reflected Functions Terminate!**

(Required for soundness)

# Logical Steps

$$D, A \vdash f(\bar{t}) \xrightarrow{\quad} f'(\bar{t}')$$

$A$  implies *guard* of  $f(\bar{t})$  whose *body* has  $f'(\bar{t}')$

Logical Path  $\Rightarrow$  Concrete Trace

# Logical Steps are *Must*-Abstractions

**If**  $D, A \vdash f(\bar{t}) \xrightarrow{\text{green}} f'(\bar{t}')$

**Then**  $\forall \sigma \in \llbracket A \rrbracket. \sigma(f(\bar{t})) \hookrightarrow^* C[\sigma(f'(\bar{t}'))]$

$A$  implies *guard* of  $f(\bar{t})$  whose *body* has  $f'(\bar{t}')$

Logical Path  $\Rightarrow$  Concrete Trace

# Logical Steps are *Must*-Abstractions

**If**  $D, A \vdash f(\bar{t}) \xrightarrow{\text{green}} f'(\bar{t}')$

**Then**  $\forall \sigma \in \llbracket A \rrbracket. \sigma(f(\bar{t})) \hookrightarrow^* C[\sigma(f'(\bar{t}'))]$

If  $A$ , every evaluation of  $f(\bar{t})$  transitions to  $f'(\bar{t}')$

Logical Path  $\Rightarrow$  Concrete Trace

# Logical Path $\Rightarrow$ Concrete Trace

**If**  $D, A \vdash f_1(\overline{t_1}) \xrightarrow{\text{green}} f_2(\overline{t_2}) \xrightarrow{\text{green}} \dots$

**Then**  $\forall \sigma \in \llbracket A \rrbracket. \sigma(f_1(\overline{t_1})) \hookrightarrow^* C_2[\sigma(f_2(\overline{t_2}))] \hookrightarrow^* \dots$

If  $A$ , every evaluation of  $f(\overline{t})$  transitions to  $f'(\overline{t'})$

# Logical Path $\Rightarrow$ Concrete Trace

**If**  $D, A \vdash f_1(\overline{t_1}) \xrightarrow{\quad} f_2(\overline{t_2}) \xrightarrow{\quad} \dots$

**Then**  $\forall \sigma \in \llbracket A \rrbracket. \sigma(f_1(\overline{t_1})) \hookrightarrow^* C_2[\sigma(f_2(\overline{t_2}))] \hookrightarrow^* \dots$

**i.e.**

**If** infinite logical path,  $\llbracket A \rrbracket$  not empty\*

**Then** infinite concrete trace.

\*A is satisfiable



# Why does PLE terminate?

$\text{PLE}(D, A, G)$  diverges

$\Rightarrow$  Tree is infinite

$\Rightarrow$  infinite logical path

$\Rightarrow$  infinite concrete trace.

# Why does PLE terminate?

$\text{PLE}(D, A, G)$  diverges

$\Rightarrow$  Tree is infinite

$\Rightarrow$  infinite logical path

$\Rightarrow$  infinite concrete trace. 

# Synthesis Terminates

$\text{PLE}(D, A, G)$  diverges

$\Rightarrow$  Tree is infinite

$\Rightarrow$  infinite logical path

$\Rightarrow$  infinite concrete trace. 

$\therefore \text{PLE}(D, A, G)$  terminates!

# Reasoning about Functions

I

Equational Proof

V

II

Proof Synthesis

MC

III

Synthesis Terminates

AI

# Reasoning about Functions

## Laws

Transitivity, Associativity...

## Optimizations

Optimization preserves behavior ...

## Code Invariants

Higher-order Contract Specifications...

## Functional Correctness

Equivalence w.r.t. to reference implementation

# Reasoning about Functions

Laws

Transitivity, Associativity...

Optimizations

[Demo]

Code Invariants

Higher-order Contract Specifications...

Functional Correctness

Equivalence w.r.t. to reference implementation

# Reasoning about Functions

Benchmark	Common		Without PLE Search			With PLE Search		
	Impl (l)	Spec (l)	Proof (l)	Time (s)	SMT (q)	Proof (l)	Time (s)	SMT (q)
<b>Arithmetic</b>								
Fibonacci	7	10	38	2.74	129	16	1.92	79
Ackermann	20	73	196	5.40	566	119	13.80	846
<b>Class Laws Fig 11</b>								
Monoid	33	50	109	4.47	34	33	4.22	209
Functor	48	44	93	4.97	26	14	3.68	68
Applicative	62	110	241	12.00	69	74	10.00	1090
Monad	63	42	122	5.39	49	39	4.89	250
<b>Higher-Order Properties</b>								
Logical Properties	0	20	33	2.71	32	33	2.74	32
Fold Universal	10	44	43	2.17	24	14	1.46	48
<b>Functional Correctness</b>								
SAT-solver	92	34	0	50.00	50	0	50.00	50
Unification	51	60	85	4.77	195	21	5.64	422
<b>Deterministic Parallelism</b>								
Conc. Sets	597	329	339	40.10	339	229	40.70	861
<i>n</i> -body	163	251	101	7.41	61	21	6.27	61
Par. Reducers	30	212	124	6.63	52	25	5.56	52
<b>Total</b>	1176	1279	1524	148.76	1626	638	150.88	4068

# Reasoning about Functions

## Equational Proofs

Synthesized by Logical Evaluation



# Equational Proofs

Synthesized by Logical Evaluation

**SMT Automation is Great ...**

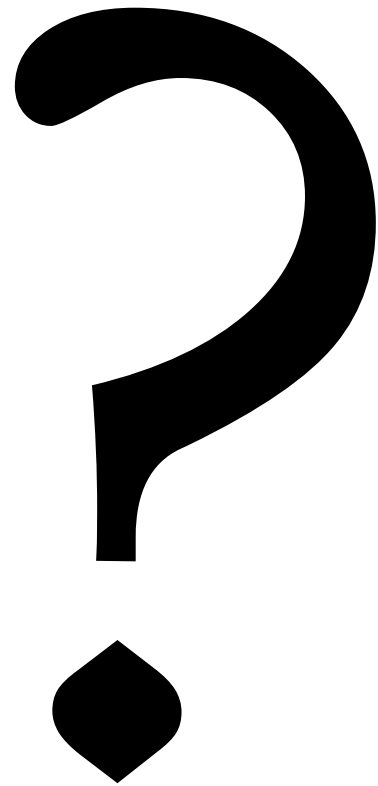
Short, Readable, High-level Proofs

**... Except when A Proof Fails!**

Counterexamples for true but *unprovable* facts?

# Reasoning about Functions

Equational Proofs, Synthesized by Logical Evaluation





[bit.ly/liquidhaskell](https://bit.ly/liquidhaskell)

